

Chemistry 163B Winter 2020

Lecture 9- Carnot Arithmetic and Efficiency

Chemistry 163B
Lecture 09

Carnot Arithmetic

Challenged Penmanship

Notes

[see handout: Carnot Arithmetic](#) 

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roadmap for second law



1. Phenomenological statements (what is ALWAYS observed)
2. Ideal gas Carnot [*reversible*] cycle efficiency of heat \rightarrow work (Carnot cycle transfers heat only at T_U and T_L)
3. Any cyclic engine operating between T_U and T_L must have an equal or lower efficiency than Carnot OR VIOLATE one of the phenomenological statements (observations)
4. Generalize Carnot to any reversible cycle (E&R fig 5.4)

5. Show that for this REVERSIBLE cycle

$$q_U + q_L \neq 0 \quad (\text{dq inexact differential } \oint \text{dq} \neq 0)$$

but

$$\frac{q_U}{T_U} + \frac{q_L}{T_L} = 0 \quad (\text{something VERY VERY special about } \frac{\text{dq}_{rev}}{T}; \quad \oint \frac{\text{dq}_{rev}}{T} = !!!)$$

6. S, entropy and spontaneous changes

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from lecture on 2nd Law and probability (disorder)

- Disorder, **W**, did not change during an adiabatic reversible expansion ($q_{\text{rev}} = 0$)
- Disorder, **W**, increased in isothermal reversible expansion ($q_{\text{rev}} > 0$)
- Disorder, **W**, increased with T increase ($q > 0$)
- Disorder, **W**, decreased with T decrease ($q < 0$)
- As $T \rightarrow 0$, **W** $\rightarrow 1$

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statements of the Second Law of Thermodynamics (roadmap #1)

1. Macroscopic properties of an isolated system eventually assume constant values (e.g. pressure in two bulbs of gas becomes constant; two block of metal reach same T) [*Andrews. p37*] 
2. It is impossible to construct a device that operates in cycles and that converts heat into work without producing some other change in the surroundings. *Kelvin's Statement* [*Raff p 157*]; *Carnot Cycle* 
3. It is impossible to have a natural process which produces no other effect than absorption of heat from a colder body and discharge of heat to a warmer body. *Clausius's Statement, refrigerator* 
4. In the neighborhood of any prescribed initial state there are states which cannot be reached by any adiabatic process
~ *Caratheodory's statement* [*Andrews p. 58*]

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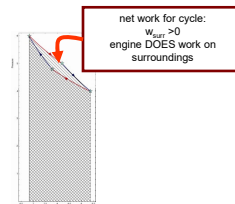
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goals of Carnot arithmetic (step 2 of roadmap)

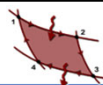
1. Carnot cycle is "engine" that produces work from heat
2. Define efficiency:

$$\text{efficiency} = \frac{\text{net work done by machine}}{\text{heat energy input to machine}}$$
3. Today, arithmetic manipulations of 1st Law results from ideal gas Carnot cycle (HW2 #10) to show that this efficiency depends only on the two temperatures at which heat is transferred to and from surroundings (the T_U of step 1 and T_L of step 3; the non-adiabatic paths)
4. Although for [reversible] Carnot cycle $\oint \dot{d}q_{rev} \stackrel{\text{WILL}}{\neq} 0$ but $\oint \frac{\dot{d}q_{rev}}{T} \stackrel{\text{WILL}}{=} 0$



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from Carnot cycle

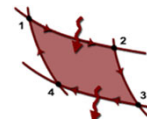


<https://www.learnthermo.com/T1-tutorial/ch09/lesson-A/pg03.php>

for system in complete cycle:

$\Delta U=0$; $q > 0$; $w < 0$ (work DONE on surr) **(Prob #10e)**

$q > 0$ (q_{in}) at higher T_H ; $q < 0$ (q_{out}) at lower T_L



efficiency = $-w/q_{1 \rightarrow 2}$

(how much total [net] **work out** (-sign) for **heat in** 1 \rightarrow 2)

efficiency will depend on T_U and T_L

HW4 prob #22 ϵ is efficiency

$$\epsilon = \frac{T_H - T_C}{T_H} \quad \text{or} \quad \epsilon = \frac{T_U - T_L}{T_U}$$

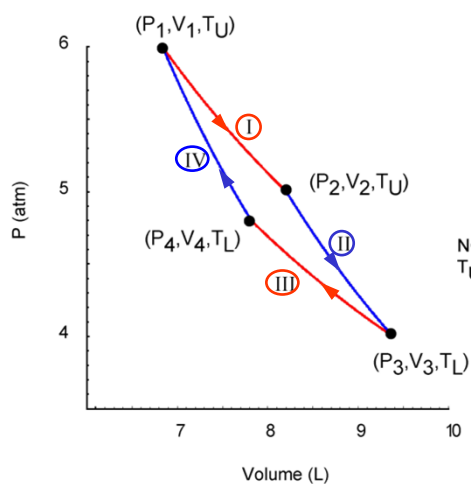
H=HOT C=COLD or U=UPPER L=LOWER

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Problem HW2 #10 (see handout "Carnot Cycle Arithmetic") ➡



in prob #10

$P_1=6 \text{ atm}, T_1=T_U=500\text{K}$ ↓

$P_2=5 \text{ atm}, T_2=T_U=500\text{K}$ ↓

$P_3=4 \text{ atm}, q_{2\rightarrow3} = 0, T_3=T_L$ ↓

$P_4=4.8 \text{ atm}, T_4=T_L, q_{4\rightarrow1} = 0$ ↓

NOTE:

$T_U (T \text{ upper}) \equiv T_H (T \text{ higher or } T \text{ hotter})$

cyclic process

I isothermal expansion

II adiabatic expansion

III isothermal compression

IV adiabatic compression

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let's go

- get $w_I + w_{II} + w_{III} + w_{IV} = w_{\text{total}}$
- get $q_I = q_{\text{input}}$

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Summary
(see handout "Summary of Heat and Work for the Carnot Cycle Engines, Refrigerators, Heat Pumps")

general expressions for $(P_1, T_U) \xrightarrow{I} (P_2, T_U) \xrightarrow{II} (P_3, T_L) \xrightarrow{III} (P_4, T_L) \xrightarrow{IV} (P_1, T_U)$

ENGINE	q	W_{sys}	W_{surr}	
I. isothermal expansion	$+nRT_U \ln \frac{P_1}{P_2}$ 1.3	$-nRT_U \ln \frac{P_1}{P_2}$ 1.2	$+nRT_U \ln \frac{P_1}{P_2}$	heat in at T_H work out
II adiabatic expansion	0	$nC_V(T_L - T_U)$ 2.4	$-nC_V(T_L - T_U)$	work out
III. isothermal compression	$nRT_L \ln \frac{P_4}{P_3} = -nRT_L \ln \frac{P_1}{P_2}$ 3.3&T.3	$-nRT_L \ln \frac{P_4}{P_3} = nRT_L \ln \frac{P_1}{P_2}$ 3.2&T.3	$-nRT_L \ln \frac{P_4}{P_3}$	heat lost at T_L work in
IV. adiabatic compression	0	$nC_V(T_U - T_L)$ 4.4	$-nC_V(T_U - T_L)$	work in
net gain/cost	$q_{in} = q_I$ $+nRT_U \ln \frac{P_1}{P_2}$		$W_{total} = W_I + W_{II} + W_{III} + W_{IV} = nR(T_U - T_L) \ln \frac{P_1}{P_2}$	$\varepsilon = W_{surr}/q_{in}$ $\varepsilon = (T_U - T_L)/T_U$

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isothermal expansion at T_U (see handout "Carnot Cycle Arithmetic")

Step I Isothermal expansion, $T_U, V_1 \rightarrow V_2$:

$$\Delta U_I = 0 \tag{1.1}$$

$$w_I = -nRT_U \ln \frac{V_2}{V_1} = nRT_U \ln \frac{P_2}{P_1} \tag{1.2}$$

$$q_I = -w_I = nRT_U \ln \frac{V_2}{V_1} = nRT_U \ln \frac{P_1}{P_2} \tag{1.3}$$

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step II: adiabatic reversible compression $T_U \rightarrow T_L$

$$V_3 = V_2 \left(\frac{T_U}{T_L} \right)^{\frac{\bar{C}_V}{R}} \quad V_3 = V_2 \left(\frac{P_2}{P_3} \right)^{\frac{\bar{C}_V}{R}} \quad (2.1)$$

$$T_L = T_U \left(\frac{V_2}{V_3} \right)^{\frac{R}{\bar{C}_V}} \quad T_L = T_U \left(\frac{P_3}{P_2} \right)^{\frac{R}{\bar{C}_V}} \quad (2.2)$$

$$P_3 = P_2 \left(\frac{V_3}{V_2} \right)^{\frac{\bar{C}_V}{R}} \quad P_3 = P_2 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{C}_V}{R}} \quad (2.3)$$

P,V,T relationships
for adiabatic reversible
process

$$q_{II} = 0; \quad w_{II} = \Delta U \quad (2.4)$$

$$\Delta U_{II} = n\bar{C}_V \Delta T = n\bar{C}_V (T_L - T_U)$$

$$w_{II} = \Delta U_{II} = n\bar{C}_V T_U \left[\left(\frac{V_2}{V_3} \right)^{\frac{R}{\bar{C}_V}} - 1 \right] = n\bar{C}_V T_U \left[\left(\frac{P_3}{P_2} \right)^{\frac{R}{\bar{C}_V}} - 1 \right] \quad (2.5)$$

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Step III: isothermal reversible compression at T_L

$$\Delta U_{III} = 0 \quad (3.1)$$

$$w_{III} = -nRT_L \ln \frac{V_4}{V_3} = nRT_L \ln \frac{P_4}{P_3} \quad (3.2)$$

$$q_{III} = -w_{III} = nRT_L \ln \frac{V_4}{V_3} = nRT_L \ln \frac{P_3}{P_4} \quad (3.3)$$

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Step IV: adiabatic reversible compression ($T_L \rightarrow T_U$)

$$V_4 = V_1 \left(\frac{T_U}{T_L} \right)^{\frac{\bar{C}_V}{R}} \quad V_4 = V_1 \left(\frac{P_1}{P_4} \right)^{\frac{\bar{C}_V}{\bar{C}_P}} \quad (4.1)$$

$$T_L = T_U \left(\frac{V_1}{V_4} \right)^{\frac{R}{\bar{C}_V}} \quad T_L = T_U \left(\frac{P_4}{P_1} \right)^{\frac{R}{\bar{C}_P}} \quad (4.2)$$

$$P_4 = P_1 \left(\frac{V_1}{V_4} \right)^{\frac{\bar{C}_P}{\bar{C}_V}} \quad P_4 = P_1 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{C}_P}{R}} \quad (4.3)$$

P,V,T relationships
for adiabatic reversible
process

$$q_{IV} = 0; \quad w_{IV} = \Delta U_{IV} \quad (4.4)$$

$$\Delta U_{IV} = n\bar{C}_V \Delta T = n\bar{C}_V (T_U - T_L)$$

$$w_{IV} = \Delta U_{IV} = n\bar{C}_V T_U \left[1 - \left(\frac{V_1}{V_4} \right)^{\frac{R}{\bar{C}_V}} \right] = n\bar{C}_V T_U \left[1 - \left(\frac{P_4}{P_1} \right)^{\frac{R}{\bar{C}_P}} \right] \quad (4.5)$$

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note: $w_{IV} = -w_{II}$ the two adiabatic steps have opposite work out \leftrightarrow work in

$$\Delta U_{II} = n\bar{C}_V (T_L - T_U) = w_{II} \quad \longleftrightarrow \quad w_{IV} = n\bar{C}_V (T_U - T_L) = \Delta U_{IV}$$

$$w_{II} = n\bar{C}_V T_U \left[\left(\frac{P_3}{P_2} \right)^{\frac{R}{\bar{C}_P}} - 1 \right] = n\bar{C}_V T_U \left[\left(\frac{4}{5} \right)^{\frac{R}{\bar{C}_P}} - 1 \right] = n\bar{C}_V T_U \left[(.8)^{\frac{R}{\bar{C}_P}} - 1 \right]$$

$$w_{IV} = n\bar{C}_V T_U \left[1 - \left(\frac{P_4}{P_1} \right)^{\frac{R}{\bar{C}_P}} \right] = n\bar{C}_V T_U \left[1 - \left(\frac{4.8}{6} \right)^{\frac{R}{\bar{C}_P}} \right] = n\bar{C}_V T_U \left[1 - (.8)^{\frac{R}{\bar{C}_P}} \right]$$

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and now for the TOTAL cycle (T_U and T_L ; and P_1 and P_2 given)

$$w_{\text{total}} = w_I + w_{\text{II}} + w_{\text{III}} + w_{\text{IV}}$$

$$w_{\text{II}} = -w_{\text{IV}} \Rightarrow w_{\text{total}} = w_I + w_{\text{III}}$$

$$w_I = nRT_U \ln \frac{P_2}{P_1}$$

$$w_{\text{III}} = nRT_L \ln \frac{P_4}{P_3} \quad \text{with} \quad P_3 = P_2 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{c}_p}{R}} \quad \text{and} \quad P_4 = P_1 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{c}_p}{R}}$$

$$w_{\text{III}} = nRT_L \ln \left(\frac{P_1 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{c}_p}{R}}}{P_2 \left(\frac{T_L}{T_U} \right)^{\frac{\bar{c}_p}{R}}} \right) = nRT_L \ln \left(\frac{P_1}{P_2} \right) = -nRT_L \ln \left(\frac{P_2}{P_1} \right)$$

$$w_{\text{total}} = nR(T_U - T_L) \ln \frac{P_2}{P_1}$$

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and NOW EFFICIENCY ε

efficiency: $\varepsilon = \frac{\text{(total work done ON SURROUNDINGS)}}{\text{(heat INPUT)}}$

$$\varepsilon = \frac{-w_{\text{total}}}{q_I}$$

$$w_{\text{total}} = nR(T_U - T_L) \ln \frac{P_2}{P_1}$$

$$q_I = -w_I = nRT_U \ln \frac{P_1}{P_2} = -nRT_U \ln \frac{P_2}{P_1}$$

and

$$\varepsilon = \frac{-nR(T_U - T_L) \ln \frac{P_2}{P_1}}{-nRT_U \ln \frac{P_2}{P_1}}$$

$$\varepsilon = \frac{(T_U - T_L)}{T_U} = 1 - \frac{T_L}{T_U}$$

only $q_I \equiv q_{\text{UPPER}}$
 q_{III} is wasted heat lost
to surroundings at T_L
as thermal pollution

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net gain/cost	$q_{in} = q_I$ $+nR T_U \ln \frac{P_1}{P_2}$		$W_{total} = W_I + W_{II} + W_{III} + W_{IV} =$ $nR(T_U - T_L) \ln \frac{P_1}{P_2}$	$\epsilon = W_{surr}/q_{in}$ $\epsilon = (T_U - T_L)/T_U$


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some limits on efficiency of ideal engine

$$\epsilon = \frac{(T_U - T_L)}{T_U} = 1 - \frac{T_L}{T_U}$$


lim $\epsilon = 0$
 $T_L \rightarrow T_U$

must have q in at higher and q out at lower T



lim $\epsilon =$
 $T_L \rightarrow 0$

or **1**



lim $\epsilon =$
 $T_U \rightarrow \infty$

perfect efficiency at finite temperatures only for T_{LOWER} = 0 K

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roadmap for second law



- ✓ 1. Phenomenological statements (what is ALWAYS observed)
- ✓ 2. Ideal gas Carnot [reversible] cycle efficiency of heat \rightarrow work (Carnot cycle transfers heat only at T_U and T_L)
3. Any cyclic engine operating between T_U and T_L must have an equal or lower efficiency than Carnot OR VIOLATE one of the phenomenological statements (observations)
4. Generalize Carnot to any reversible cycle (E&R fig 5.4)
5. Show that for this REVERSIBLE cycle

$$q_U + q_L \neq 0 \quad (\text{dq inexact differential } \oint dq \neq 0)$$

but

$$\frac{q_U}{T_U} + \frac{q_L}{T_L} = 0 \quad (\text{something VERY VERY special about } \frac{dq_{rev}}{T}; \quad \oint \frac{dq_{rev}}{T} = !!!)$$
6. S, entropy and spontaneous changes

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End of Lecture 9



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take home messages (lecture 8) and statements of 2nd Law (Andrews)

Two bodies 500 molecules each
 one at $T_A = .63 \times T_f$
 the other at $T_B = 1.37 \times T_f$

~ microstates
 $\approx 10^{556}$
 $= 10^{202} \times 10^{354}$
 (relative)
 Q T F G T

bring into thermal contact

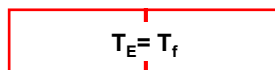
STAY COLD-HOT ??

or

EQUILIBRIUM ??



spontaneous



(relative)
DISORDER
 $\approx 10^{594}$



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E&R_{4th} fig 5.14 p. 127 [fig 5.3, p. 89]_{E&R3rd}

These considerations on the efficiency of reversible heat engines led to the Kelvin-Planck formulation of the **second law of thermodynamics**:

It is impossible for a system to undergo a cyclic process whose sole effects are the flow of heat into the system from a heat reservoir and the performance of an equal amount of work by the system on the surroundings.

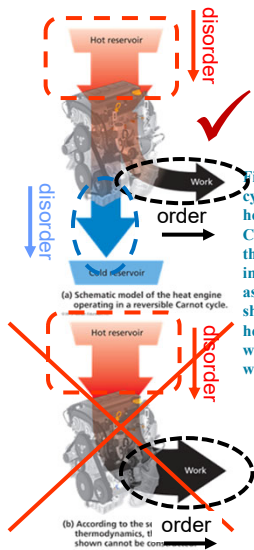
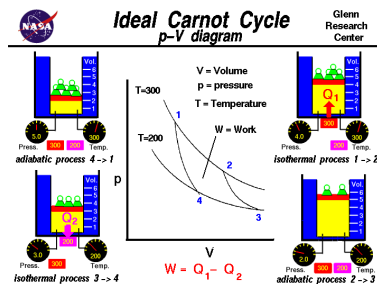


figure 5.14 Carnot heat engine cycle. (a) A schematic model of a heat engine operating in a reversible Carnot cycle. (b) The second law of thermodynamics asserts that it is impossible to construct a heat engine as shown that operates using a single heat reservoir and converts the heat withdrawn from the reservoir into work with 100% efficiency as shown



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take home messages (lecture 8) and statements of 2nd Law (Clausius)

Two bodies 500 molecules each
 one at $T_A = .63 \times T_f$
 the other at $T_B = 1.37 \times T_f$



~ microstates

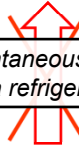
$$\approx 10^{556} = 10^{202} \times 10^{354}$$

(relative)
Q T F G T

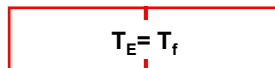
(keep) transferring heat from
 colder body to warmer body



will not occur spontaneously
 (requires work a la refrigerator)



Two bodies 500 molecules each
 at T_f



(relative)
DISORDER
 $\approx 10^{594}$



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