

Why do Monet's poppies stir in the breeze? Why does Mona Lisa's smile disappear, then reappear, as our gaze shifts? A neurophysiologist reflects on how our visual processing system affects our perceptions of art.

by MARGARET LIVINGSTONE

WHEN THE ART CRITIC LOUIS LEROY ATTENDED a new Paris show in the spring of 1874, he expected to see “the kind of painting one sees everywhere, rather bad than good, but not hostile to good artistic manners.” Instead, he found a “hair-raising exhibition” whose nadir was Claude Monet’s *Impression, Sunrise*. Leroy pronounced the seascape “at once vague and brutal” and “worse than anyone has hitherto dared

to paint.” Despite his revulsion—and that of many of his contemporaries—history has acknowledged this painting: from Leroy’s sneering review came the name for the art movement Impressionism. But what made Leroy object so fervently?

The answer may lie in part with the painting’s luminance, or perceived lightness. The elements of visual art have long

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MONET ISN'T EVERYTHING: Louis Leroy found Monet’s *Impression, Sunrise* “vague and brutal” and “worse than anyone hitherto had dared to paint.”

light

VISION

Most people are comfortable talking about color. Yet luminance, even though it is more fundamental, is dimly understood.



PRODIGAL SUN: The sun in *Impression, Sunrise* (top) appears so brilliant that it seems to pulsate. But a grayscale version reveals that the sun is actually no lighter than the background clouds. To the more primitive subdivision of our visual system, the sun appears almost invisible in the painting. In the bottom version, the sun has been made lighter than in the original—which is closer to the way it would appear in reality—and it now seems, paradoxically, less bright.

been held to be color, shape, texture, and line. But an even more basic distinction lies between color and luminance. Color can convey emotion and symbolism, but luminance alone defines shape, texture, and line. “Colors are only symbols,” Pablo Picasso once wrote. “Reality is to be found in lightness alone.”

Most people are comfortable talking about color. Yet luminance, even though it is more fundamental, is dimly understood. Given two patches of gray, it is easy to identify which is lighter, but given two colors, it is often difficult to draw such a distinction.

A monochromatic rendering of *Impression, Sunrise* reveals that Monet painted the sun at exactly the same luminance as the gray of the clouds. If he had rendered it in a strictly representational style, the sun would have been brighter than the sky by a factor too large to have been duplicated with pigments. If he had made the sun lighter—which is closer to the way it would appear in reality—it would have lost its quavering luminosity and would have seemed, paradoxically, less bright. Rather than appearing as a source of light, the sun would have looked like a cutout affixed to the clouds. By rendering the sun the exact luminance as the sky, Monet achieved an eerie effect: his orange sun appears to pulsate across the grayish-green water.

Gray Matters

Color and luminance play distinct roles in our perception of art—and even of real life—because our visual systems analyze color and luminance separately. The areas of our brain that process information about color, in the temporal lobe, are several centimeters away from the areas that analyze luminance, in the parietal lobe. They are as anatomically distinct as vision is from hearing.

The luminance system, which is evolutionarily older, is common to all mammals; the parts of the brain that process color information are present only in primates. That is probably why the most primitive visual information about a scene is found in variations of luminance. It does not matter which color is used to convey the luminance signal, because the parts of our brains that analyze the most basic features of a scene are, quite literally, colorblind.

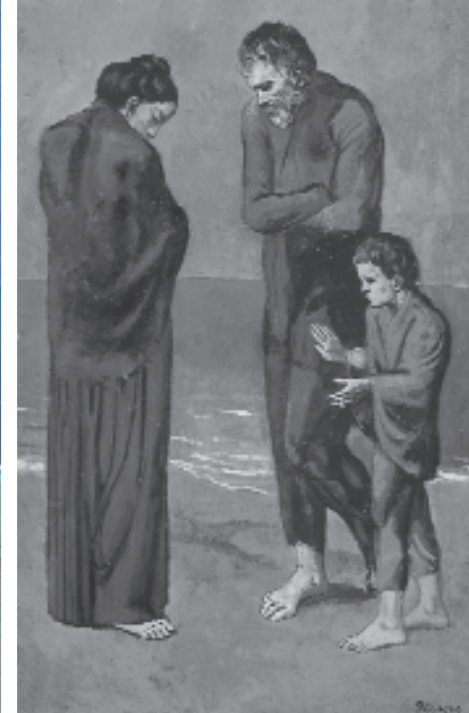
On a gross level, the visual system is a single pathway in the brain. On a finer scale, however, this pathway consists of two major subdivisions. The evolutionarily older large-cell subdivision is responsible for our perception of motion, space, position, depth, figure-and-ground segregation, and the overall organization of the visual scene. This subdivision is called the “Where” system. The small-cell subdivision, which is well developed only in primates, is responsible for our ability to recognize objects, including faces, in color and in complex detail. This newer system is called the “What” system.

The Where and What systems differ not only in the kind of information they extract from the environment, but also in how they process light signals. The Where system is colorblind; the What system carries information about color. The Where system has a much higher sensitivity to small differences in brightness. It is also faster and more transient in its responses and has a slightly lower acuity, or resolution. In the retina, thalamus, and early cortical areas, the Where and What systems are physically interdigitated, yet they keep the information they process largely separate. At higher levels, the two subdivisions become even more spatially segregated.

Evolution likely accounts for these subdivided visual tasks. The Where system in humans and other primates resembles the entire visual system of



BLACK AND BLUE: The melancholy blues in Pablo Picasso's *The Tragedy* (Poor People on the Seashore) carry the emotional content of the painting. But a black-and-white reproduction reveals that it is not the colors themselves but their luminance that makes it possible for us to recognize the figures, to perceive their three-dimensional shape, and to understand the spatial organization of the scene.



lower mammals. These animals are much less sensitive to color than we are, and they can neither scrutinize objects nor accurately discriminate them on the basis of visual attributes. Instead they are sensitive to objects in motion, because things that move—whether prey or predator—are likely to be important.

Also, because the primitive visual system must have been used to navigate through three-dimensional environments, it had to have been able to process depth information and distinguish objects from their backgrounds. As the more complicated primate visual system evolved, the original system was maintained, probably because it was simpler to overlay color vision and object recognition onto the existing system than it would have been to integrate the two.

Artistic License

In the first and most fundamental step of our visual processing, our retinal ganglion cells are excited by light impinging on their receptive field centers. Notably, however, they are inhibited by light falling on the immediately surrounding region. The net effect is to record the relationship of “center” to “surround.”

Cells at the next stage of processing, in the thalamus, show a similar center/

surround organization, which makes cells at these early stages of the visual system sensitive to discontinuities in the pattern of light falling on the retina rather than to the absolute level of light. Neurons respond best to sharp changes, rather than to gradual shifts in luminance. This wiring allows the visual system to ignore gradual changes in light and the overall level of the illuminant, factors that are usually not important biologically. Many visual modalities—such as luminance, color, motion, and depth—exhibit greater sensitivity to abrupt than to gradual change. In each modality, this selectivity is due to an underlying center/surround organization.

It makes adaptive sense for our visual system to be designed in this way because it is more efficient to encode only those parts of the image that have changes or discontinuities than to encode the entire image. The visual system in a sense compresses images because it takes energy for nerve cells to signal; the fewer cells that signal, the more energy is conserved. Higher-level visual processing, such as object recognition, is essentially the end result of extracting the information content of an image.

Artists can take advantage of this quirk in our visual system to expand the apparent range of reflectances of paints. Although a real scene may contain a large

spectrum of luminances, our visual system initially analyzes each part of the scene separately. So by introducing gradual changes in the background luminance, for example, an artist can shift the apparent luminance of the foreground in the opposite direction.

Tricks of the Light

Artists have been playing with luminance for centuries. In his 1632 painting *Meditating Philosopher*, Rembrandt used variations in luminance to create an almost ethereal golden glow. If this were a real scene, the luminance of the window would likely be hundreds of times that of the upper reaches of the shadowy staircase—an effect nearly impossible to duplicate with paint alone. The paint representing the window actually reflects only 15 times more light than the paint representing the shadows in the lower left corner of the painting, but we perceive the window section to be substantially lighter.



FOOL'S GOLD: In Rembrandt's *Meditating Philosopher*, the paint representing the window reflects only 15 times more light than the paint representing the shadows in the lower left corner, but we perceive the window section to be substantially lighter. By using a combination of gradual background changes and local abrupt changes in luminance, Rembrandt simulated a much larger range of luminances than his pigments could supply.

Some of the color combinations the Impressionists used have so little luminance contrast that they create the illusion of motion.

Rembrandt created another illusion by painting the philosopher's head on a darker background and the crosspiece of the window frame on a lighter background. The head thus appears relatively light and the window frame relatively dark, even though the head is darker than the frame. We cannot easily perceive the differences in the backgrounds because they meld gradually into one another. By using a combination of gradual background changes and local abrupt changes in luminance, Rembrandt simulated a much larger range of luminances than his pigments could supply.

Over the centuries, artists continued to increase their command of luminance to enhance their ability to represent

depth on a two-dimensional canvas. This trend toward representationalism reached a pinnacle in the early nineteenth century with the work of Jean-Auguste-Dominique Ingres, whose paintings have an amazingly photographic quality. Art historians have suggested that Ingres must have used a camera lucida or other optical aid to project an image of the scene onto the canvas or drawing tablet, so uncannily does he capture the gradations of luminance in his subjects.

Then, toward the end of the nineteenth century, the Impressionists aligned themselves against the representational style of art epitomized in the work of Ingres. Some experimented with color and luminance, sometimes using

unrealistic color gradations or abandoning luminance differences entirely.

Still Lives in Motion

One of the Impressionists' most novel accomplishments is the shimmering, alive quality they achieved in many of their paintings. The sensation of movement in *Impression, Sunrise*—and some of Louis Leroy's disdain for the painting—stemmed in part from Monet's use of quick dabs of paint, which required the viewer's eye to blend the colors. "Wallpaper in its original state is more finished than this seascape!" Leroy groused.

And yet it is clear that some of the color combinations the Impressionists used have so little luminance contrast that they create the illusion of motion. We perceive illusory motion in images made from equiluminant colors for the same reason we don't see appropriate depth in these images: our Where system can't distinguish between equiluminant colors. Therefore if an image is composed of equiluminant colors, our What system can see those objects, but our Where system—which is responsible for our ability to see motion and position, as well as depth—cannot register their position and stability, so they can seem to jitter.

Monet's *The Poppy Field Outside of Argenteuil* is a good example of this illusion. The red of the flowers is nearly equiluminant with the green of the grass and the skirt of the woman in the foreground. Our color-selective What system can easily distinguish the poppies and the skirt from the grass. But the colors, although bright, do not have enough luminance contrast for our Where system to see them. Their position seems uncertain, giving them an illusory instability. They can seem to move, as if stirred by a breeze.

Our eyes can be similarly tricked by repetitive high-contrast lines, which tend



LEVELING THE FIELD: In Monet's *The Poppy Field Outside of Argenteuil*, the red flowers, green grass, and purple skirt are approximately equiluminant. Because our "Where" system cannot see them clearly, their position seems uncertain. They can seem to move, as if stirred by a breeze.

to create motion perpendicular to their own orientation. Light shining through horizontal venetian blinds, for example, will induce the appearance of vertical motion on an adjacent wall, a phenomenon known as the McKay illusion.

An extreme example of this illusion is Isia Leviant's *Enigma*. The juxtaposition of luminance-contrast borders with areas of equiluminance can cause the illusion of motion; after looking at *Enigma* for a minute or so, the viewer should notice a streaming effect in the colored circles. The streaming always moves perpendicularly to the high-contrast lines, which induce it. We do not yet understand why a large field of high-contrast lines induces an illusion of motion. Some of Monet's paintings likely induce a mild form of this deception to help create their illusory sense of movement.

Art Mystery

Five hundred years after Mona Lisa sat for Leonardo da Vinci, we're still trying to understand what makes her painted image so lifelike. She seems to smile until you look at her mouth, then her smile fades, like a dim star that disappears as soon as you gaze directly at it. One popular idea is that Leonardo used sfumato—a

technique of subtly blurring sharp outlines—to make her expression ambiguous. That hypothesis would mean that her smile would vary depending on the viewer's imagination or state of mind, but its variability is more systematic than that.

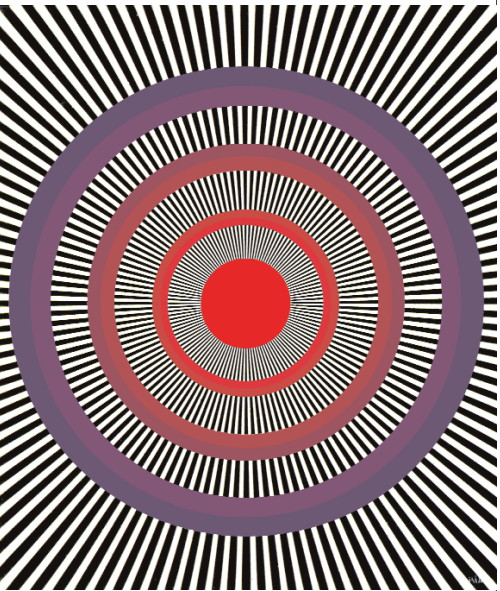
While looking at the painting one day, I noticed that Mona Lisa's expression changed according to how far the center of my gaze strayed from her mouth. These systematic transformations suggested that her lifelike quality was not so mysterious after all. Her smile, I realized, is differentially apparent in different parts of our visual field.

To understand how Mona Lisa's smile would look at a range of eccentricities, I processed images of her face to reveal its fine, medium, and coarse components. A clear smile is more apparent in the coarse and medium components of the images than in the fine detail image. This means that if the center of your gaze falls on the background or on Mona Lisa's hands, her mouth—which is then seen by your peripheral, low-resolution vision—appears cheerful. When you look directly at her mouth, your high-resolution foveal vision sees details that take away the grin. This explains the elusive quality of her expression: you literally can't catch her smile by looking at her mouth.

The spatial imprecision of our peripheral vision has interesting implications for our perception of some Impressionist paintings, too. In Monet's *Rue Montorgueil in Paris, Festival of June 30, 1878*, for example, details are spatially jumbled. If you look carefully at the flags just to the left or

right of the center of *Rue Montorgueil*, you can see that the blue, white, and red brushstrokes, representing the stripes of the tricolored flags of France, are not always well aligned or even adjacent to one another. This spatial imprecision differs from a simple blurring: it mimics the spatial imprecision in our peripheral visual field.

Our peripheral vision occasionally makes erroneous correlations between objects seen and objects known to exist. This phenomenon, called illusory conjunction, occurs when items are presented either peripherally or transiently. The flags along the Rue Montorgueil look fine when you first glance at the painting, but not if you look directly at them, or after you study those parts specifically. The painting's spatial imprecision is



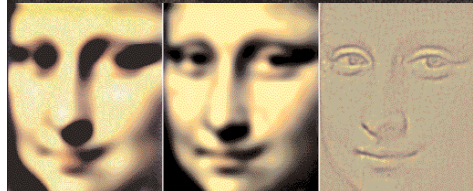
SPINNING WHEEL: In Isia Leviant's *Enigma*, the juxtaposition of luminance-contrast borders with areas of equiluminance can cause the illusion of motion; after gazing at the painting for even a moment, the viewer should notice a streaming effect in the colored circles.



PHOTO FINISH: In many of his paintings, such as the *Comtesse d'Haussonville*, Ingres took the command of luminance to a new level, with more detail in the shadows of his paintings than in some of the sharpest photographs.

Artists must learn to see luminance gradation and to evaluate
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luminance ranges with pigments.



LOOSE LIPS: Mona Lisa's expression changes depending on how far the viewer's center of gaze is from her mouth. A clear smile is more evident on her face in details that show the coarse and medium image components (left and center) than in the one that shows only fine details (right).

not immediately noticeable because our own spatial imprecision allows illusory conjunctions to complete the objects. That explains why we see complete flags, even though many of them are just single strokes of paint.

Low spatial precision can lend vitality to a painting, because our visual system fills in the picture differently with each glance. It also gives the painting a transient feel because such imprecision

is compatible with a single glance, a fleeting moment in time. Because of the low spatial resolution of peripheral vision, we cannot take in a detailed percept of the entire scene in a single glance; we see clearly only the part of the scene that our center of gaze happens to light on. "The visual sensation that imprints itself on the retina lasts but a second, or even less," wrote Impressionist painter Gustave Caillebotte, a master of the art of capturing a fleeting moment. "That's the impression that we had to pursue."

By comparison, Nicolas Poussin's highly detailed, action-packed *Rape of the Sabine Women* looks relatively static, because we can see hundreds of details. Seeing so many details is incompatible with the transience of the incident depicted—by the time our eyes move from one act of savagery to another, the scene should have changed. The longer you look, the colder and more frozen the figures in the painting seem.

In the Shade

When a light source illuminates a three-dimensional object, different parts of the object's surface reflect different amounts of light, depending on the angle of the light hitting them. We see these differences as changes in luminance, or shading, which is another depth cue that, like perspective, artists must learn to render.

To use shading effectively, artists have to surmount several challenges. They must learn to see luminance gradation and to evaluate luminance independent of color. Even then, they often find it impossible to duplicate those luminance ranges with pigments because of the limited range of reflectances available even with the best paints. The range of luminances in a given scene is almost always far greater than the array of values an

artist can achieve using pigments. Inside a typical room, for example, luminances vary widely: a light source, such as a window or lamp, might be hundreds of times brighter than the shadowed region under a desk. The luminance in outdoor scenes usually varies by a factor of a thousand.

We know that luminance contrast, not color, is necessary for depth perception. A corollary of this principle is that, as long as you have the appropriate luminance contrast, you can use any hue you want and still portray a shape in three dimensions with shading. In Henri Matisse's *La Femme au Chapeau*, for example, the shadows and most of the planes of the subject's face are peculiar colors. Although it is difficult to imagine what kind of lighting would cast blue and mauve shadows, the three-dimensional shape of the woman's face does not seem

unnatural because the patches of bizarre colors have the correct relative luminance to represent planes and shadows. Matisse himself explained, "While following the impression produced on me by a face, I have tried not to stray from the anatomical structure."

Matisse had discovered that he could use any hue and still portray the three-dimensional shape he wanted as long as the luminance was appropriate. The art collector Leo Stein, who eventually bought the painting, wrote, "It was a tremendous effort on his part, a thing brilliant and powerful, but the nastiest smear of paint I had ever seen."

A Double Take

Although late Renaissance painters attained a photographically realistic use of perspective and shading, those tech-



niques alone could not convey an authentic feeling of three-dimensionality. No matter how convincingly an artist renders shading and perspective, two other important cues—stereopsis and relative motion—inform the viewer's brain that the painting is, in fact, flat.

Since our two eyes view the world from slightly different positions, the images on the two retinas differ slightly. Stereopsis is the ability of our visual system to interpret the disparity between the two images as depth. A stereoscope, a device popular in the mid-nineteenth century, presented two slightly different pictures, one to each eye, to give a vivid sense of depth. The View-Masters many of us enjoyed as children also work on this principle, showing three-dimensional images of pterodactyls, volcanoes, and Donald Duck.

The same part of the brain that codes stereopsis codes depth from relative motion, so movements as small as the distance between our eyes are large enough to produce a strong depth signal. We glean information about distance from the relative motion of objects as we move past them. When you walk down a street at night, for example, the objects close to you, such as the trees along the sidewalk, seem to pass more quickly than the houses or trees farther away. Those at even

FREEZE FRAME: Nicolas Poussin's *The Rape of the Sabine Women* depicts a great deal of action, yet it seems more static than Monet's *Rue Montorgueil* because our visual system cannot register so many details at once.

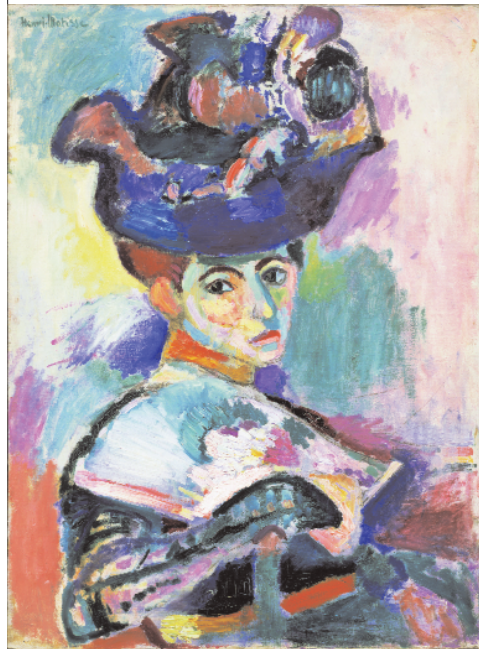


UNFLAGGING ENERGY: The spatial imprecision in Monet's *Rue Montorgueil in Paris, Festival of June 30, 1878*, generates vitality because it is consistent with a single glance, a moment in time.

greater distances, such as the moon, seem stationary.

We also pick up relative movement cues from the small head motions we make even when we stand still in front of a painting. No matter how skillfully the artist conveys depth through the use of perspective and shading, because the images in our two eyes are identical and because there is no relative movement between objects in the painting, our brains register the painting as flat.

The Impressionists found multiple ways to trick our brains, though. In most Impressionist paintings, cues such as perspective or shading, rendered in luminance contrast, convey a sense of depth. The blurriness and deliberate lack of details characteristic of many Impressionist paintings also contribute to a sense of three-dimensionality. To see stereoscopic depth, the image needs to



HAT TRICK: Despite its odd colors, the shape of the woman's face in Matisse's *La Femme au Chapeau* seems natural because the relative luminance of the pigments is appropriate, even if the hues are not.

be detailed enough to allow us to detect the slight differences between our two eyes' images. By eliminating some spatial details and blurring others, an artist can hinder stereopsis from revealing the flatness of the image. This allows other depth cues in the painting, such as shading and perspective, to produce a more powerful signal because they are not as strongly contradicted by stereopsis.

The notable ability of some Impressionist and Post-Impressionist paintings to invoke an illusion of depth, or a sensation of atmosphere, also likely arises from the rendering of semiregular patterns of leaves or flowers, or even from coarse brush strokes. *Rue Montorgueil*, for example, produces an illusion of depth because of the semirepetitive patterns of the flags. Ironically, this effect goes beyond what realism could achieve—short of making two slightly different

paintings and using stereo viewers—to generate a sense of depth.

The sense of atmosphere is particularly striking in Pierre-Auguste Renoir's *A Girl Gathering Flowers*. The dabs of paint can be mismatched in the images in our two eyes, giving the painting an illusory sense of a three-dimensional volume filled with small floating elements, such as flower petals, insects, and pollen.

Vision Quest

The ways in which we process color and luminance hold ramifications for more than paintings; they also affect our perceptions of television, computer graphics, photography, color printing, and movies. These technologies are all flat, like painting, so they use the same kinds of cues—perspective, shading, and occlusion—to give an illusory sense of

depth. They also have the same problem as paintings in that our stereopsis registers the images as flat.

But movies and television have the potential for a powerful additional depth cue—relative motion. If you close one eye and gaze steadily at, say, the edge of this magazine, you may find that it does not seem clearly in front of background objects. But by moving your head slightly from side to side you can make it jump back into proper apparent depth. That is because relative motion of objects at different distances is a strong cue to their distance from the observer. Relative motion of objects in movies and television can be a powerful cue to depth and can even induce an illusion of being propelled through space. Who didn't have to grip their seat the first time they saw the opening credits for *Star Wars*?

Recent advances in our understanding of the human visual system allow us to look at art—and our perceptions of the world—in new ways. Without understanding the underlying neurobiology of color and luminance recognition, artists, advertisers, psychologists, and the technology industry have discovered various phenomena that turn out to be based on the parallel organization of our visual systems. It will be interesting to see whether an explicit understanding of the neurobiology of vision will lead to more sophisticated effects and illusions and a greater knowledge of brain function in general. ■

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FLORAL REARRANGEMENT: The dabs of paint in Renoir's *A Girl Gathering Flowers* can be mismatched between our two eyes, leading to a powerful sensation of a three-dimensional volume filled with small floating objects.

The higher levels of the "Where" system are located above the ears, in the parietal lobe, and the higher levels of the "What" system are located in front of the ears, in the temporal lobe. Because these areas are distinct, people can experience damage—from stroke or injury—to one system without the other being at all affected.

When the Where system is damaged, people have trouble locating objects; they have difficulty perceiving motion and depth, distinguishing right from left, and seeing complex objects in their entirety. Much of our knowledge of the function of the Where system comes from neurological studies of people who have sustained damage to the parietal lobe, such as Zsatsky, a Russian soldier who suffered a bullet wound to his left parietal area during World War II.

After his injury, Zsatsky described his vision as being severely disorganized and spatially fractured, though his recognition of individual objects was unimpaired. He had trouble grasping objects that he could plainly see because they would turn out to be to one side or the other of where he perceived them to be. He could not tell right from left, and he could see only one small part of an object or a scene at a time. His world would "glimmer fitfully and become displaced, making everything appear as if it were in a state of flux."

The neurologist Josef Zihl has described a stroke victim whose world, unlike Zsatsky's frenetic universe, appeared strangely static. Bilateral damage to her parietal lobe had affected her motion per-

ception. She found herself in danger crossing streets because she could not judge the speed of approaching cars. "When I'm looking at the car first, it seems far away," she reported. "But then, when I want to cross the road, suddenly the car is very near." She eventually learned to gauge the distance of approaching vehicles by their sound. For this patient, even pouring a cup of tea was tricky, because she could not perceive the rising level of the tea in the cup. Midair, the stream of fluid appeared frozen, like a glacier.

When people suffer damage to the What system, they have trouble recognizing objects, animals, people, or colors. These visual losses can be surprisingly specific, indicating a high degree of functional specialization. With some kinds of stroke, for example, people can lose the ability to recognize colors but not the ability to recognize objects, or vice versa—evidence that the What system is further subdivided into a color system and a form system.

The process of object recognition must also be further subdivided, because strokes can occasionally result in uncannily specific losses of object recognition abilities. Some patients may retain a capacity to recognize living things only, for example, or lose their ability to identify fruits and vegetables. Not uncommonly, small lesions in the temporal lobe can result in a selective loss of the ability to recognize faces but not any other kind of object.

Neurologist Oliver Sacks has written about an artist whose injury had caused him to lose only his color perception; his other visual

abilities remained intact. The artist was still able to recognize and render objects, but his entire world—even the world he saw while thinking and dreaming—became gray and drab. He was profoundly disturbed by the wrongness of the appearance of everything around him. People resembled "animated gray statues," and he found their gray flesh so abhorrent he began to shun them. Food looked so disgusting that he had to close his eyes to eat. Finally, he began to consume only achromatic foods, such as black olives and white rice.

Some people with temporal lobe lesions that interfere with the What system can accurately copy drawings of objects without

having the slightest idea what those objects are. Others with a lesion in a slightly different part of the temporal lobe cannot recognize faces that had once been familiar, such as those of family members, friends, or celebrities. One man who had suffered a stroke told his doctor, "I can see the eyes, nose, and mouth quite clearly, but they just don't add up." At his social club one day the stroke victim noticed that a stranger kept staring at him; when he finally asked the steward who the ill-mannered bloke was, he learned that he had spent the afternoon gazing at himself in a mirror. ■



REPRODUCTION ISSUES: As part of a vision test, Oliver Sacks asked two of his patients to try to reproduce the image in the left panel. The center panel shows a reproduction made by a red/green colorblind person; the right panel shows a reproduction made by a man with a lesion in the color processing part of his brain. The perception and drawing ability of the man with the lesion were intact, but his color perception was completely gone. He was much more profoundly colorblind than the red/green colorblind patient.

brain teaser